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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF MAILING

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT and documents submitted therewith are being deposited with the United States Postal Service as first class mail, postage prepaid thereon, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

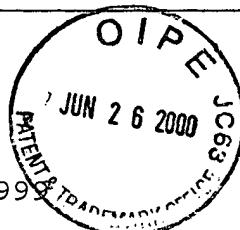
Thomas E. Northrup

Thomas E. Northrup, Reg. No. 33,268

6-23-2600

Date

|                             |                          |
|-----------------------------|--------------------------|
| Applicant : Sherman         | ) Group: 1642            |
| Serial No. : 09/277,064     | ) )                      |
| Filed : March 26, 1994      | ) Examiner: Unassigned   |
| For : IN VIVO ACTIVATION OF | ) )                      |
| TUMOR-SPECIFIC CYTOTOXIC    | ) Our Ref. TSRI 433.1 D1 |
| T CELLS                     | ) )                      |
|                             | ) )                      |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

JUN 30 2000

Dear Sir:

TECH CENTER 1600/2900

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Information Disclosure Statement in compliance therewith.

Pursuant to 37 CFR § 1.98(d), enclosed herewith are copies of the Information Disclosure Statement and Supplemental Information Disclosure Statement and accompanying PTO Forms 1449 filed in parent application 08/355,558 filed on December 14, 1994, now abandoned. Also, pursuant to 37 CFR §1.98(d), copies of the above references are not submitted herewith, as they are available in the parent patent application 08/355,558.

~~Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed~~

references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR 1.56, it shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR §1.97(b) insofar as this statement is being filed within three months of the filing of the application/before the mailing date of a first Office Action.

Also, in accordance with 37 CFR 1.97 (g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR 1.56 exists.

Respectfully submitted,

Dated: 6-23-2000

By Thomas E. Northrup  
Thomas E. Northrup, Reg. No. 33,268

THE SCRIPPS RESEARCH INSTITUTE  
Office of Patent Counsel  
10550 North Torrey Pines Road  
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La Jolla, CA 92037  
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## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF MAILING

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April C. Logan  
April C. Logan, Reg. No. 33,950

8 March 1995  
Date

Applicant : Sherman  
 Serial No. : 08/355,558  
 Filed : 12/14/94  
 For : IN VIVO ACTIVATION OF  
 TUMOR-SPECIFIC CYTOTOXIC  
 T CELLS



) Group Art Unit: Unknown  
 )  
 ) Examiner: Unassigned  
 ) Our Ref. TSRI 433.0  
 )  
 )

INFORMATION DISCLOSURE STATEMENT

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Hon. Commissioner  
 of Patents and Trademarks  
 Washington, D.C. 20231

JUN 30 2000

TECH CENTER 1600-2000

Dear Sir:

In recognition of their continuing duty to disclose pursuant to 37 CFR 1.56, Applicants hereby submit the present Information Disclosure Statement and accompanying PTO Form 1449 in compliance therewith. Copies of the references cited therein are also enclosed, as explained further below.

The following references were cited in the application and are believed relevant for the reasons set forth in the specification:  
 Di Fiore, et al., Science 237: 178 (1987); Dittmer, et al., Nature Gen. 4: 42 (1993); Falk, et al., Nature 351: 290 (1991); Harlow, et al., Mol. Cell. Biol. 5: 1601 (1985); Hinds, et al., Cell Growth Diff. 1: 571 (1990); Houbiers, et al., Eur. J. Immunol. 23: 2072-2077 (1993); Hunt, et al., Science 255: 1261 (1992); Ioannides, et

al., Cellular Immunol. 151: 225-234 (1993); Ioannides, et al., J. Immunol. 146: 1700 (1991); Irwin, et al., J. Exp. Med. 170: 1091 (1989); Melief and Kast, Curr. Op. Immunol. 5: 709-713 (1993); Vitiello, et al., J. Exp. Med. 173: 1007-1015 (1991); Winter, et al., Cancer Res. 52: 4168-74 (1992); Yoshino, et al., J. Immunol. 152: 2393 (1994).

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR 1.56, pursuant to 37 CFR §1.97(h), the filing of this statement shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR 1.97 (b) insofar as this statement is being filed before the mailing date of a first Office Action.

Also in accordance with 37 CFR §1.97 (g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR §1.56 exists.

Respectfully submitted,

Dated: 8 March 1995

By: April C. Logan  
April C. Logan  
Registration No. 33,950  
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CERTIFICATE OF MAILING

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT and documents submitted therewith are being deposited with the United States Postal Service as first class mail, postage prepaid thereon, in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date indicated below.

*April C. Logan*  
April C. Logan, Reg. No. 33,950

*21 April 1995*  
Date

|  |                       |
|--|-----------------------|
| Applicant : Sherman  | ) Group Art Unit:     |
| Serial No. : 08/355,558  | ) Unassigned          |
| Filed : 12/14/94   | ) Examiner:           |
| For : IN VIVO ACTIVATION OF<br>TUMOR-SPECIFIC CYTOTOXIC<br>T CELLS | ) Unassigned          |
|  | ) Our Ref. TSRI 433.0 |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Supplemental Information Disclosure Statement and accompanying PTO Form 1449 in compliance therewith. Copies of the references cited therein are also enclosed.

The following references became known to Applicant within the past 30 days and are believed relevant for the reasons set forth as follows. Published International Application No. WO 93/24525 (inventors: Melief, et al.) describes human p53 peptides and proteins for use in human T cell response-inducing compositions. Published International Application No. WO 94/02167 (inventor: Levine) describes vaccine compositions comprising a mutant or wild-

Serial No.: 08/355,558

TSRI 433.0



type p53 protein.

Applicant understands that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56, pursuant to 37 CFR §1.97(h), the filing of this Statement shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicant is filing the present Statement pursuant to 37 CFR §1.97(b) insofar as this Statement is being filed before the mailing date of a first Office Action.

Also, in accordance with 37 CFR §1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made, or that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR §1.56 exists.

Respectfully submitted,

Dated: 27 April 1995

By: April C Logan  
April C. Logan  
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.  
SCR 1569P

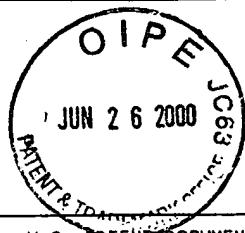
SERIAL NO.  
08/355,558

APPLICANT  
Sherman

FILING DATE  
December 14, 1994

GROUP  
Unknown

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT



U.S. PATENT DOCUMENTS

| EXAM.<br>INITIALS | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|-------------------|--------------------|------|------|-------|---------------|----------------|
|                   |                    |      |      |       |               |                |
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FOREIGN PATENT DOCUMENTS

| EXAM.<br>INITIALS | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|-------------------|--------------------|------|---------|-------|---------------|----------------|
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|                   |                    |      |         |       |               |                |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

|    |   |
|----|---|
| 1  | Di Fiore, et al., <u>Science</u> 237: 178 (1987)                |
| 2  | Dittmer, et al., <u>Nature Gen.</u> 4: 42 (1993)                |
| 3  | Falk, et al., <u>Nature</u> 351: 290 (1991)                     |
| 4  | Harlow, et al., <u>Mol. Cell. Biol.</u> 5: 1601 (1985)          |
| 5  | Hinds, et al., <u>Cell Growth Diff.</u> 1: 571 (1990)           |
| 6  | Houbiers, et al., <u>Eur. J. Immunol.</u> 23: 2072-2077 (1993)  |
| 7  | Hunt, et al., <u>Science</u> 255: 1261 (1992)                   |
| 8  | Ioannides, et al., <u>Cellular Immunol.</u> 151: 225-234 (1993) |
| 9  | Ioannides, et al., <u>J. Immunol.</u> 146: 1700 (1991)          |
| 10 | Irwin, et al., <u>J. Exp. Med.</u> 170: 1091 (1989)             |
| 11 | Melief and Kast, <u>Curr. Op. Immunol.</u> 5: 709-713 (1993)    |
| 12 | Vitiello, et al., <u>J. Exp. Med.</u> 173: 1007-1015 (1991)     |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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